

MODERNISATION FUND

Accelerating the transition to climate neutrality

APPENDIX 7

Subsequent disbursement for non-priority investment schemes proposal form

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Accelerating the transition to climate neutrality

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20/12/22

Revision 2

MODERNISATION FUND – Accelerating the transition to climate neutrality

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<https://modernisationfund.eu/>

Appendix 7 Subsequent disbursement for non-priority investment schemes proposal form

(for schemes recommended by the IC, provided that there have been no changes to the schemes)

Articles 4 & 7 of the Implementing Regulation¹

1. Submission information	
1.1. Targeted Investment Committee ²	Sx/202x

2. Authority responsible for the implementation of the Modernisation Fund	
2.1. Name	
2.2. Address	
2.3. Website	
2.4. Main contact:	
Name	
Position in the Organisation	
Email	
Telephone Number	
Mobile Number (optional)	

3. General information

¹ COMMISSION IMPLEMENTING REGULATION (EU) 2020/1001 of 9 July 2020 laying down detailed rules for the application of Directive 2003/87/EC of the European Parliament and of the Council as regards the operation of the Modernisation Fund supporting investments to modernise the energy systems and to improve energy efficiency of certain Member States.

² Please provide the targeted Investment Committee date in form of semester Sx and year.

3.1. Title of the Scheme	
3.2. Scheme managing authority	
3.3. Beneficiary Member State	
3.4. Total volume of the Scheme (total investment cost with and without VAT)	
3.5. Total support from the Modernisation Fund (EUR)	
3.6. The EIB reference number of the Scheme	
3.7. Date of the Investment Committee recommendation to finance the Scheme	
3.8. The chronological number of current disbursement request	X disbursement (i.e. 2nd, 3rd , etc.)

4. Declaration that there are no changes to the Scheme

Please attach the corresponding declaration from the national authority responsible for the Modernisation Fund.

“No changes to the scheme” means no change to the objectives, the scope and nature of investments, the total Modernisation Fund support, the final beneficiaries and to the State aid classification.

5. Specific information

5.1. Amounts already disbursed for the Scheme (EUR)	
5.2. Amounts specified in the EC disbursement decision not yet paid to the beneficiary Member State by the EIB (EUR)	
5.3. Amounts still to be disbursed, already confirmed by the EIB but before the EC	

disbursement decision (information specified in Article 6(10) of the Implementing Regulation) (EUR)	
5.4. Amounts paid by the Beneficiary Member State to the final beneficiaries for the Scheme	
5.5. Currently requested amount for the disbursement from the Modernisation Fund (EUR).	
5.6. Remaining amount to be disbursed (EUR)	
5.7. Are there changes related to the “evidence of State aid compliance” of the Scheme. This includes changes in the State aid regime that applies to each measure of the Scheme, changes in the Commission non-objection decision (prolongation of the Commission decision, new Commission non-objection decision, etc.), changes in the block-exempted measures (new summary information transmitted to the Commission), changes in the reasoning that the measure does not constitute State aid, etc.	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, please fill in section 6 of the form.</p> <p>If no, please provide a separate signed declaration from the national authority responsible for the Modernisation Fund stating that there are no changes to the evidence of State aid compliance of the Scheme.</p>
<p>5.8. Please provide the updated information about the Scheme allowing to understand the implementation progress (please quantify already achieved objectives, results, indicators, volume, compliance with original time schedule) (Article 4(2) of the IR).</p> <p>Please describe and provide reasoning for any deviations from the assumptions applied in the original investment proposal.</p>	

5.9. Please confirm that the financing complies with the fourth sentence of the second subparagraph of Article 10d(6) of Directive 2003/87/EC (“Up to 70 % of the relevant costs of an investment which does not fall into the areas listed in paragraph 2 may be supported with resources from the Modernisation Fund provided that the remaining costs are financed by private legal entities”).

5.10. Please specify the types and nature of entities financing the remaining costs of the investment and the share of the relevant costs financed by each of them (Article 10d(6) of Directive 2003/87/EC: “Up to 70 % of the relevant costs of an investment which does not fall into the areas listed in paragraph 2 may be supported with resources from the Modernisation Fund provided that the remaining costs are financed by private legal entities”).

6. State Aid (Article 6(7)(c) and Annex I, point 1.8 of the IR) – **ONLY in case of changes related to evidence of State aid compliance** (see 4.5)

6.1. Does the investment involve granting State aid? (in the meaning of Article 107(1) of the Treaty on the Functioning of the European Union)	Yes <input type="checkbox"/>	No <input type="checkbox"/>
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6.2. If Yes, please provide evidence as applicable:

- a) the Commission decision of non objection on the national aid measure;
- b) reference under which the block-exempted measure has been registered (State aid number attributed by the Commission’s electronic notification system referred to in Article 11 of Regulation (EU) No 651/2014) (GBER) or in case of new measure, the expected date of transmission of summary information on the measure as per Article 11 of GBER.

Please specify total eligible costs as well as the aid intensities and any other relevant condition, based on the State aid decision/applicable GBER provisions.

Please confirm that the total amount requested from the Modernisation Fund and other Union and national instruments (total State aid) does not exceed the amount and percentage allowed by the State aid decision/GBER.

6.3. If No, please attach a statement evidencing that support for the investment proposal does not constitute State aid within the meaning of Article 107(1) of the Treaty. The statement should be signed either by the national authority responsible for the implementation of the Modernisation Fund or by the national competent authority responsible for State aid and should include either a reference to a Commission decision or detailed reasoning why the support does not constitute State aid.

7. Endorsement of Authority Submitting the Investment Proposal

Name:	
Signature:	
Date:	