

MODERNISATION FUND

Accelerating the transition to climate neutrality

APPENDIX 7

Subsequent disbursement for non-priority investment schemes or large-scale projects proposal form

MODERNISATION FUND
Accelerating the transition to climate neutrality

**Subsequent disbursement for
non-priority investment
schemes or large-scale projects
proposal form**

15/12/2023

Revision 3

MODERNISATION FUND – Accelerating the transition to climate neutrality

✉ modernisation-fund@eib.org

<https://modernisationfund.eu/>

Appendix 7 Subsequent disbursement for non-priority investment schemes or large-scale projects proposal form

(for schemes or large-scale projects recommended by the IC, provided that there have been no changes to the schemes / large-scale projects)

Articles 4 & 7 of the Implementing Regulation¹

1. Submission information		
1.1. Targeted Investment Committee ²	Sx/202x	
1.2. Is the proposal a scheme? If Yes, please fill in part 5.8 of this Form. If No, please fill in part 5.9 of this Form.	Yes <input type="checkbox"/>	No <input type="checkbox"/>
1.3. Does the proposal support the investments in gaseous fossil fuels? If Yes, for the schemes and large-scale projects confirmed by the EIB after 5 June 2023, please fill in part 6 and 7 of this form. <i>Please note that no support from the Modernisation Fund 2nd category of funds (2.5%) shall be provided to energy generation facilities that use fossil fuels.</i>	Yes <input type="checkbox"/>	No <input type="checkbox"/>

¹ COMMISSION IMPLEMENTING REGULATION (EU) 2020/1001 of 9 July 2020 laying down detailed rules for the application of Directive 2003/87/EC of the European Parliament and of the Council as regards the operation of the Modernisation Fund supporting investments to modernise the energy systems and to improve energy efficiency of certain Member States.

² Please provide the targeted Investment Committee date in form of semester Sx and year.

2. Authority responsible for the implementation of the Modernisation Fund	
2.1. Name	
2.2. Address	
2.3. Website	
2.4. Main contact:	
Name	
Position in the Organisation	
Email	
Telephone Number	
Mobile Number (optional)	

3. General information			
3.1. Title of the Scheme / Large-scale project			
3.2. Scheme / Large-scale project managing authority			
3.3. Beneficiary Member State			
3.4. Total volume of the Scheme / Large-scale project (total investment cost with and without VAT)			
3.5. Total support from the Modernisation Fund (EUR)			
3.6. Please indicate the category of funds for the current investment ³ :	<input type="checkbox"/> Category I ⁴ (2%)	<input type="checkbox"/> Category II ⁵ (2.5%)	<input type="checkbox"/> Category III ⁶ (transfers)
3.7. Please indicate the subcategory of funds intended ⁷ to finance the investment	<input type="checkbox"/> funds generated before 31 December 2027		
	<input type="checkbox"/> funds generated between 31 December 2027 and 31 December 2028		
	<input type="checkbox"/> funds generated after 31 December 2028		
3.8. The EIB reference number of the Scheme / Large-scale project			
3.9. Date of the Investment Committee recommendation to finance the Scheme / Large-scale project			
3.10. The chronological number of current disbursement request	X disbursement (i.e. 2nd, 3rd , etc.)		

³ Please provide the information about the origin of the allowances as per Article 1(8) of the Implementing Regulation

⁴ revenue from allowances referred Article 10(1), the third subparagraph of Directive 2003/87/EC

⁵ revenue from allowances referred Article 10(1), the fourth subparagraph of Directive 2003/87/EC

⁶ revenue from allowances covered by a notification pursuant to Article 10d(4) of Directive 2003/87/EC

⁷ As per Article 10d(1), the third subparagraph of Directive 2003/87/EC

4. Declaration that there are no changes to the Scheme / Large-scale project

Please attach the corresponding declaration from the national authority responsible for the Modernisation Fund.

“No changes to the scheme / Large-scale project” means no change to the objectives, the scope and nature of investments, the total Modernisation Fund support, the final beneficiaries and to the State aid classification.

5. Specific information	
5.1. Amounts already disbursed for the Scheme / Large-scale project (EUR)	
5.2. Amounts specified in the EC disbursement decision not yet paid to the beneficiary Member State by the EIB (EUR)	
5.3. Amounts still to be disbursed, already confirmed by the EIB but before the EC disbursement decision (information specified in Article 6(10) of the Implementing Regulation) (EUR)	
5.4. Amounts paid by the Beneficiary Member State to the final beneficiaries for the Scheme / Large-scale project	
5.5. Currently requested amount for the disbursement from the Modernisation Fund (EUR)	
5.6. Remaining amount to be disbursed (EUR)	
5.7. Are there changes related to the “evidence of State aid compliance” of the investment proposal. This includes changes in the State aid regime that applies to each investment proposal, changes in the Commission non-objection decision (prolongation of the Commission decision, new Commission non-objection decision, etc.), changes in the block-exempted measures (new summary information transmitted to the Commission), changes in the reasoning that the measure does not constitute State aid, etc.	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p> <p>If Yes, please fill in section 8 of the form.</p> <p>If No, please provide a separate signed declaration from the national authority responsible for the Modernisation Fund stating that there are no changes to the evidence of State aid compliance of the investment proposal.</p>

5.8. In case of **schemes**, please provide the updated information allowing to understand the implementation progress (please quantify already achieved objectives, results, indicators, volume, compliance with original time schedule) (Article 4(2, 2a) and Annex I 4.2(a) of the IR).

Please describe and provide reasoning for any deviations from the assumptions applied in the original investment proposal.

Please provide the information about calls for proposals, project selection, agreements concluded with the final recipients of the Modernisation Fund support, transfers made to the final recipients, as relevant.

Where no progress took place or there are significant delays since the previous disbursement decision, please provide the information about the reasons for such lack of progress or such significant delays and any corrective action and implementation timeline.

5.9. In case of **large-scale projects**, please provide the updated information allowing to understand the implementation progress (please quantify already achieved objectives, results, indicators, volume, compliance with original time schedule) (Article 4(2, 2a) and Annex I 4.2(b) of the IR).

Please provide the information about milestones achieved since the previous disbursement decision.

Where relevant: identified or expected changes in eligible costs, technology applied or results of the investment.

Where no progress took place or there are significant delays since the previous disbursement decision, information about the reasons for such lack of progress or such significant delays and any correction and implementation timeline.

5.10. Please confirm that the financing complies with the fourth sentence of the second subparagraph of Article 10d(6) of Directive 2003/87/EC ("Up to 70% of the relevant costs of an investment which does not fall into the areas listed in paragraph 2 may be supported with resources from the Modernisation Fund provided that the remaining costs are financed by private legal entities").

5.11. Please specify the types and nature of entities financing the remaining costs of the investment and the share of the relevant costs financed by each of them (Article 10d(6) of Directive 2003/87/EC: “Up to 70% of the relevant costs of an investment which does not fall into the areas listed in paragraph 2 may be supported with resources from the Modernisation Fund provided that the remaining costs are financed by private legal entities”).

6. Support to energy generation facilities using gaseous fossil fuels (Regulation (EU) 2020/852, ETS Article 10d(1))	
6.1. Please confirm, by ticking the box, that the investment qualifies as environmentally sustainable in accordance with Article 3 of Regulation (EU) 2020/852	Confirm <input type="checkbox"/>
6.2. Please select the appropriate objective(s) set out in Article 9 in accordance with Articles 10 to 16 of Regulation (EU) 2020/852 to which the investment makes a substantial contribution.	
<input type="checkbox"/> Climate change mitigation; <input type="checkbox"/> Climate change adaptation; <input type="checkbox"/> Sustainable use and protection of water and marine resources; <input type="checkbox"/> Transition to a circular economy; <input type="checkbox"/> Pollution prevention and control; <input type="checkbox"/> The protection and restoration of biodiversity and ecosystems.	
6.3. Please confirm, by ticking the box, that the investment complies with Do No Significant Harm principle for all of the other environmental objectives set out in Article 9 in accordance with Article 17 of Regulation (EU) 2020/852.	Confirm <input type="checkbox"/>
6.4. Please confirm, by ticking the box, that the investment complies with the minimum (social) safeguards set out in Article 18 of Regulation (EU) 2020/852.	Confirm <input type="checkbox"/>
6.5. Please confirm, by ticking the box, that the investment complies with the technical screening criteria set out by the Commission through delegated acts (Regulation (EU) 2021/2139) in accordance with Articles 10(3), 11(3), 12(2), 13(2), 14(2) and 15(2) of Regulation (EU) 2020/852.	Confirm <input type="checkbox"/>

7. Justification for reasons of ensuring energy security (ETS Article 10d(1))

7.1. Please confirm, by ticking the box, that the investment is duly justified for reasons of ensuring energy security.

Confirm

7.2. Please provide detailed justification.

--

8. State Aid (Article 6(7)(c) and Annex I, point 1.8 of the IR) – ONLY in case of changes related to evidence of State aid compliance (see 5.7)

8.1. Does the investment involve granting State aid? (in the meaning of Article 107(1) of the Treaty on the Functioning of the European Union)

Yes

No

8.2. If Yes, please provide evidence as applicable:

- a) the reference number of the Commission decision of non-objection on the national aid measure and a confirmation that the investment complies with all the conditions of that authorising decision;
- b) a reference to the compatibility basis under which the investment is exempted from the State aid notification (e.g. the specific GBER Article) and the reference number under which the summary information was registered (e.g. pursuant to Article 11 of GBER);
- c) expected date for notification of the aid measure to the Commission.

Please specify total eligible costs as well as the aid intensities and any other relevant condition, based on the State aid decision / applicable GBER provisions.

Please confirm that the total amount requested from the Modernisation Fund and other Union and national instruments (total State aid) does not exceed the amount and percentage allowed by the State aid decision / GBER.

8.3. If No, please attach a statement evidencing that support for the investment proposal does not constitute State aid within the meaning of Article 107(1) of the Treaty. The statement should be signed either by the national authority responsible for the implementation of the Modernisation Fund or by the national competent authority responsible for State aid and should include either a reference to a Commission decision or detailed reasoning why the support does not constitute State aid.

9. Non-beneficiary Member State involved in the investment, located in the adjacent Union border region (Art.8 par 2 of the IR)

9.1. Does the proposal support the adjacent Union border regions? If Yes, please provide the information requested below:		Yes <input type="checkbox"/>	No <input type="checkbox"/>
9.2. Representative of the Non-beneficiary Member State			
9.3. Address			
9.4. Website			
9.5. Main contact:			
Name			
Position in the Organisation			
Email			
Telephone Number			
Mobile Number (optional)			

10. Endorsement of Authority Submitting the Investment Proposal

Name:	
Signature:	
Date:	