

Accelerating the transition to climate neutrality

APPENDIX 6

Subsequent disbursement for priority investment schemes or large-scale projects proposal form

ASSESSMENT GUIDANCE DOCUMENT



Subsequent disbursement for priority investment schemes or large-scale projects proposal form

16/01/2025 Revision 6

MODERNISATION FUND – Accelerating the transition to climate neutrality

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https://modernisationfund.eu/

Appendix 6 Subsequent disbursement for priority investment

schemes or large-scale projects proposal form

(for schemes or large-scale projects confirmed by the EIB, provided that there have been no changes to the schemes / large-scale projects)

Articles 4 & 6 of the Implementing Regulation¹

1. Submission information		
1.1.Targeted Investment Committee ²	Sx/202x	
1.2. Is the proposal a scheme? If Yes, please fill in 5.5 of this Form. If No, please fill in 5.6 of this Form.	Yes 🗆	No 🗆
 1.3. Does the proposal support the investments in gaseous fossil fuels? If Yes, and the investment is going to be financed with the 1st category of funds (2%), for the schemes and large-scale projects confirmed by the EIB after 5 June 2023, please fill in part 6 and 7 of this form. Please note that no support from the Modernisation Fund 2nd category of funds (2.5%) shall be provided to energy generation facilities that use fossil fuels. 	Yes 🗆	No 🗆

¹ COMMISSION IMPLEMENTING REGULATION (EU) 2020/1001 of 9 July 2020 laying down detailed rules for the application of Directive 2003/87/EC of the European Parliament and of the Council as regards the operation of the Modernisation Fund supporting investments to modernise the energy systems and to improve energy efficiency of certain Member States.

² Please provide the targeted Investment Committee date in form of semester Sx and year.

2. Authority responsible for the implementation of the Modernisation Fund		
2.1.Name		
2.2. Address		
2.3. Website		
2.4. Main contact:		
Name		
Position in the Organisation		
Email		
Telephone Number		
Mobile Number (optional)		

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3. General information			
3.1. Title of the scheme / large-scale project			
3.2. Scheme / Large-scale project managing authority			
3.3. Beneficiary Member State			
3.4. The EIB reference number of the scheme / large-scale project			
3.5. Date of the EIB confirmation of the scheme / large-scale project			
3.6. Total investment cost of the large- scale project (with and without VAT) or total volume of the scheme (EUR)			
3.7. Total support from the Modernisation Fund (EUR)			
3.8. Please indicate the category of funds for the current investment ³ :	Category I ⁴ (2%)	□ Category II⁵ (2.5%)	Category III6 (transfers)
3.9. If the investment is intended to be financed with the funds from 1 st and/or 2 nd category, and the EIB has confirmed the scheme / large-scale project after 5 th of June 2023 (3.5), please complete section 8 of this form relating to the DNSH (Do No Significant Harm) principle.			
3.10. Currently requested amount for the disbursement from the Modernisation Fund (EUR)			
3.11. The chronological number of the current disbursement request	X disbursement (i.e. 2 nd , 3 rd , etc.)		

 ³ Please provide the information about the origin of the allowances as per article 1(8) of the Implementing Regulation
 ⁴ revenue from allowances referred Article 10(1), the third subparagraph of Directive 2003/87/EC
 ⁵ revenue from allowances referred Article 10(1), the fourth subparagraph of Directive 2003/87/EC
 ⁶ revenue from allowances covered by a notification pursuant to Article 10(4) of Directive 2003/87/EC

4. Declaration that there are no changes to the scheme/large-scale project. (Article 6.8 IR) 7

Please attach the corresponding declaration from the national authority responsible for the Modernisation Fund.

5. Specific information		
5.1. Amount already disbursed for the scheme / large scale project by the EIB (EUR).	-	
5.2. The remaining amount, to be disbursed (calculated a the difference between the total approved funding from the MF for the scheme/large-scale project and the disbursements approved so far) (EUR).		
5.3. Amount paid by the Beneficiary Member State to the final beneficiaries for the scheme / large-scale project		
 5.4. Are there changes related to the "evidence of State aid compliance" of the investment proposal? This includes: changes in the State aid regime, changes in the Commission non-objection decisior (prolongation of the Commission decision, new Commission non-objection decision, etc.), changes in the block-exempted measures (e.g new summary information transmitted to the Commission), changes in the reasoning that the measure doe not constitute State aid, etc. 	Yes 🗆 No 🗆	
5.5. Please provide the updated information about the scheme allowing to understand the implementation progress (please quantify already achieved objectives, results, indicators,		

volume, compliance with original time schedule) (Article 4(2, 2a) and Annex I 4.2(a) of the IR).

Please describe and provide reasoning for any deviations from the assumptions applied in the original investment proposal.

Please provide the information about calls for proposals, project selection, agreements concluded with the final recipients of the Modernisation Fund support, transfers made to the final recipients, as relevant.

⁷ as per <u>Assessment Guidance Document - Modernisation Fund</u>

Where no progress took place or there are significant delays since the previous disbursement decision, please provide the information about the reasons for such lack of progress or such significant delays and any corrective action and implementation timeline.

5.6. In case of **large-scale project**, please provide the updated information allowing to understand the implementation progress (please quantify already achieved objectives, results, indicators, volume, compliance with original time schedule) (Article 4(2, 2a) and Annex I 4.2(b) of the IR).

Please provide the information about milestones achieved since the previous disbursement decision.

Where relevant: identified or expected changes in eligible costs, technology applied or results of the investment.

Where no progress took place or there are significant delays since the previous disbursement decision, information about the reasons for such lack of progress or such significant delays and any correction and implementation timeline.

6. Support to energy generation facilities using gaseous fossil fuels (Regulation (EU) 2020/852,		
ETS Article 10d(1)) Please fill in for the investments supporting gaseous fossil fuels financed from the 1 funds (2%).	st category of		
6.1. Please confirm, by ticking the box, that the investment qualifies as environmentally sustainable in accordance with Article 3 of Regulation (EU) 2020/852	Confirm 🗆		
6.2. Please select the appropriate objective(s) set out in Article 9 in accordance with Articles 10 to 16 of Regulation (EU) 2020/852 to which the investment makes a substantial contribution.			
□ Climate change mitigation;			
\Box Climate change adaptation;			
\Box Sustainable use and protection of water and marine resources;			
Transition to a circular economy;			
\Box Pollution prevention and control;			
□ The protection and restoration of biodiversity and ecosystems.			
6.3. Please confirm, by ticking the box, that the investment complies with Do No Significant Harm principle for all of the other environmental objectives set out in Article 9 in accordance with Article 17 of Regulation (EU) 2020/852.	Confirm 🗆		
6.4. Please confirm, by ticking the box, that the investment complies with the minimum (social) safeguards set out in Article 18 of Regulation (EU) 2020/852.	Confirm 🗆		
6.5. Please confirm, by ticking the box, that the investment complies with the technical screening criteria set out by the Commission through delegated acts (Regulation (EU) 2021/2139) in accordance with Articles 10(3), 11(3), 12(2), 13(2), 14(2) and 15(2) of Regulation (EU) 2020/852.	Confirm 🗆		

7. Justification for reasons of ensuring energy security (ETS Article 10d(1)) Please fill in for the investments supporting gaseous fossil fuels financed from the 1 st category of funds (2%).			
7.1 Please confirm, by ticking the box, that the investment is duly justified for reasons of ensuring energy security.	Confirm 🗆		
7.2 Please provide detailed justification.			

8. Do No Significant Harm principle (Regulation (EU) 2020/852, ETS Article 10d(f))			
Please fill in for the investments financed from the revenues generated from the auctioning of allo to in Article 10(1), third and fourth subparagraphs of EU ETS Directive (1st and/or 2nd category of funds (2			
8.1. Does the investment proposal include any economic activity in respect of which technical screening criteria for determining whether an economic activity causes significant harm to one or more of the relevant environmental objectives have been established pursuant to Article 10(3), point (b), of Regulation (EU) 2020/852?	Yes □ No □		
8.2. If yes, please indicate below all economic activities included in the investment the proposal, listed in the Commission Delegated Regulation (EU) 2021/2139.	supported by		
Select an activity			
If there are more activities which will be supported under the proposal and which are, included in Commission Delegated Regulation (EU) 2021/2139, please specify them below providing the description and number as in the Regulation.			
8.3. Please confirm, by ticking the box, that the economic activities indicated above, comply with the technical screening criteria set out by the Commission through Regulation (EU) 2021/2139.	Confirm 🗆		
8.4. Please indicate how the compliance of the investment with Do No Significant Harm principle will be ensured/(is ensured for ongoing investments) - for example: contractual conditionality and/or other.			
Please specify:			

9. State /	vid (Article 6(7)(c) and Annex I, point 1.8 of the IR)		
	the investment involve granting State aid? (in the meaning of 107(1) of the Treaty on the Functioning of the European Union)	Yes 🗆	No 🗆
 9.2. If Yes, please provide evidence as applicable: a) the reference number of the Commission decision of non-objection on the national air measure and a confirmation that the investment complies with all the conditions of the authorising decision; 			
 b) a reference to the compatibility basis under which the investment is exempted from the State aid notification (e.g. the specific GBER Article) and the reference number unde which the summary information was registered (e.g. pursuant to Article 11 of GBER); 			
C)	expected date of notification of the aid measure to the Commis	ssion.	
	specify total eligible costs as well as the aid intensities and any of on the State aid decision / applicable GBER provisions.	ther relevant	conditior
and n	confirm that the total amount requested from the Modernisation ational instruments (total State aid) does not exceed the am od by the State aid decision / GBER.		
not c shouk Mode shouk	please attach a statement evidencing that support for the inve onstitute State aid within the meaning of Article 107(1) of the d be signed either by the national authority responsible for the rnisation Fund or by the national competent authority response d include either a reference to a Commission decision or <u>detai</u> ort does not constitute State aid.	Treaty. The implementa sible for Stat	statemer ition of th te aid an

10. Non-beneficiary Member State involved in the investment, located in the adjacent Union border region (Art.8 par 2 of the IR)				
10.1.	Does the proposal support the adjacent Union border regions? If Yes, Yes No December 2012 No Please provide the information requested below:			No 🗆
10.2.	Representative of the Non-beneficiary Member State			
10.3.	Address			
10.4.	Website			
10.5.	Main contact:			
	Name			
	Position in the Organisation			
	Email			
	Telephone Number			
	Mobile Number (optional)			

11. Endorsement of Authority Submitting the Investment Proposal		
Name:		
Signature:		
Date:		